

MEMORANDUM

TO: File No. S7-01-13

FROM: Dhawal Sharma

RE: Proposed Regulation Systems Compliance and Integrity

DATE: April 16, 2013

On April 11, 2013, Commission staff met in-person with representatives of BATS Exchange, Inc. (“BATS”) to discuss, among other things, proposed Regulation SCI.

Commission staff included David Shillman, John Roeser, and Ted Venuti from the Division of Trading and Markets.

The BATS attendees at the meeting were Eric Swanson, Tami Schademann, Anders Franzon, Greg Steinberg, Kyle Murray, and Ben Boydston.