

## MEMORANDUM

TO: File  
FROM: Richard Grant  
RE: Meeting with Representatives of Goldman Sachs  
DATE: September 8, 2011

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On September 8, 2011, representatives from the Securities and Exchange Commission (“SEC”) participated in a meeting with representatives from Goldman Sachs (“Goldman”). The SEC representatives present at the meeting were Brian Bussey, Matt Daigler, Jack Habert, Lourdes Gonzalez, Cindy Oh, Burt Porter, Kathleen Kim, Richard Gabbert, and Richard Grant. The Goldman representatives present at the meeting were Ken Connolly, Faryar Shirzad, Tom Riggs, John Vitha, Elizabeth Martin and Mark Holloway. At the meeting, the Goldman representatives provided their views and observations on the application of Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act to Goldman’s global security-based swaps businesses.

[No agenda available for this meeting.]