

MEMORANDUM

TO: File

FROM: Michael E. Coe
Office of Commissioner Luis A. Aguilar

DATE: October 6, 2010

SUBJECT: Meeting with Representatives of The Depository Trust & Clearing Corporation

On September 30, 2010, Michael E. Coe, Counsel to Commissioner Aguilar, met with the following representatives of DTCC:

Jeffrey T. Waddle, Managing Director and Senior Counsel, DTCC
Marisol Collazo, Vice President, DTCC
Jonathan M. Winer, Senior Vice President, APCO Worldwide
Ali Wolpert, Senior Associate, APCO Worldwide
Micah S. Green, Esq., Patton Boggs LLP

The discussion included, among other things, the provisions of Title VII of the Dodd-Frank Act regarding swap data repositories and governance and conflict of interest controls for clearing agencies, swap execution facilities, and exchanges.