

MEMORANDUM

TO: File on Title VII of the Dodd-Frank Wall Street Reform and Consumer Protection Act (“Dodd-Frank Act”)

FROM: Brian P. Murphy
Counsel to Commissioner Walter
Office of Commissioner Walter

DATE: December 2, 2010

RE: Meeting with Representatives of the Wholesale Markets Brokers’ Association

On December 2, 2010, Commissioner Walter and Brian P. Murphy met with representatives of the Wholesale Markets Brokers’ Association to discuss security-based swap execution facilities under Title VII of the Dodd-Frank Act. A list of meeting participants, which was provided by the Wholesale Markets Brokers’ Association, is below:

- Julian Harding, current Chairman of WMBAA
- Stephen Merkel and Andrew Wels (BGC)
- Christopher Giancarlo and William Shields (GFI)
- Ed Brown (ICAP)
- Shawn Bernardo (Tullett Prebon)
- Micah Green (Patton Boggs)
- Matt Dunn (Patton Boggs)