

## MEMORANDUM

TO: File  
FROM: Daniel Staroselsky  
RE: Meeting with representatives from Goldman Sachs, JP Morgan, and Morgan Stanley  
DATE: June 9, 2011

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On June 9, 2011, staff from the Division of Trading and Markets, the Division of Investment Management, the Division of Risk, Strategy, and Financial Innovation, and the Office of Compliance Inspections and Examinations met with the following representatives of Goldman Sachs, JP Morgan, and Morgan Stanley: Keith Douglas (Managing Director, Goldman Sachs), Brian J. Lee (Global Product Controller, Goldman Sachs), Sarah Gray (Managing Director, Goldman Sachs), Thomas S. Riggs (Managing Director, Goldman Sachs), Michael Paese (Managing Director, Goldman Sachs), Jeremy Barnum (Chairman of the Market Structure Executive Committee, JP Morgan), Gregory A. Baer (Managing Director, JP Morgan), Alexander Hunt (Managing Director, JP Morgan), James J. Hill (Managing Director, Morgan Stanley), John F. Neary (Managing Director, Morgan Stanley), Soo-Mi Lee (Executive Director, Morgan Stanley), and Candice Elizabeth Koederitz (Managing Director, Morgan Stanley). The participants discussed implementation of section 619 of the Dodd-Frank Act, including metric analysis.