

MEMORANDUM

TO: File No. 4-781

FROM: Adam T. Moore, Senior Counsel
Office of the Investor Advocate
U.S. Securities and Exchange Commission

DATE: August 10, 2023

RE: Meeting with Representatives of Thrivent

On Tuesday, August 1, 2023, Cristina Martin Firvida, Investor Advocate, and staff from the Office of the Investor Advocate, Marc Sharma, Chief Counsel, Adam T. Moore, Charles Kwon, Adam Anicich, and Carla Anglin, met with representatives from Thrivent Financial for Lutherans, consisting of:

- Nicole James Gilchrist, VP and Managing Counsel, Thrivent Financial for Lutherans
- Sharon Brosnan, VP of Government Affairs, Thrivent Financial for Lutherans

Among the topics discussed was Thrivent's December 29, 2021 Petition for Rulemaking to Abrogate or Amend Financial Industry Regulatory Authority Rules 2268(d), 12200, and 12204(d).