

MEMORANDUM

TO: File No. 4-781

FROM: Brandon Hill – Counsel to Commissioner Jaime Lizárraga – U.S. Securities and Exchange Commission

RE: Meeting with Thrivent

DATE: October 11, 2022

On October 11, 2022, Commissioner Jaime Lizárraga, Parisa Haghshenas, Laura D’Allaird, Diem-Mi Lu and Brandon Hill met with the following representatives from Thrivent:

- Nicole James Gilchrist, VP and Managing Counsel, Thrivent Financial for Lutherans
- Paul Johnston, EVP and General Counsel, Thrivent Financial for Lutherans
- James Odland, VP and Managing Counsel, Thrivent Financial for Lutherans
- David Westmark, Legal Consultant for Thrivent Financial for Lutherans
- Randy Seybold, Partner, Venable LLP
- Ann Furman, Shareholder, Carlton Fields, P.A.
- Andrew Kay, Partner, Venable LLP
- Richard Choi, Shareholder, Carlton Fields, P.A.

The topic discussed was Thrivent’s December 29, 2021 Petition for Rulemaking to Abrogate or Amend Financial Industry Regulatory Authority Rules 2268(d), 12200, and 12204(d).