

## MEMORANDUM

TO: File No. 4-757 (Rel. 34-90096)

FROM: Eugene Hsia  
Office of Market Supervision, Division of Trading and Markets

DATE: December 4, 2020

SUBJECT: Meeting with RBC and Other Equity Market Participants

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On December 4, 2020, Brett Redfearn, Gerard Citera, Michael Coe, Jennifer Colihan, Mark Donohue, Deborah Flynn, Richard Holley, Eugene Hsia, Arisa Kettig, Marlene Olsen, Andrea Orr, John Roeser, Christian Sabella, Nicholas Shwayri, and Peggy Sullivan, all from the Division of Trading and Markets, met by telephone with the following representatives of the firms identified below:

- Rich Steiner, RBC
- Shawn Maher, RBC
- Matt Reed, RBC
- Danielle Barrett, RBC
- Jason Vedder, Driehaus Capital Management
- Craig Hurl, Ontario Teachers' Pension Plan
- Dan Royal, Janus Henderson Investors
- Mehmet Kinak, T. Rowe Price
- Peter Stutsman, The Capital Group
- Doug Schrank, Southeastern Asset Management
- Michelle Louie, The Vanguard Group
- Gerry O'Reilly, The Vanguard Group
- Lisa Lynch, Wellington Management
- Nola Kopfer, MFS Investment Management
- Joe Scafidi, Brandes Investment Partners

The meeting participants discussed generally the Commission's Notice of Filing of a National Market System Plan Regarding Consolidated Equity Market Data.