

**MEMORANDUM**

TO: File No. 4-757 (Rel. 34-90096)

FROM: Jennifer Colihan  
Office of Market Supervisor, Division of Trading and Markets

DATE: November 5, 2020

SUBJECT: Meeting with Representatives from the Investment Company Institute (“ICI”)

---

On November 5, 2020, John Roeser, Michael Coe, Peggy Sullivan, Deborah Flynn, Arisa Kettig, Eugene Hsia, and Jennifer Colihan, all from the Division of Trading and Markets, met by telephone with Nhan Nguyen and Dorothy Donohue from the ICI.

The meeting participants discussed generally the Commission’s Notice of Filing of a National Market System Plan Regarding Consolidated Equity Market Data.