

MEMORANDUM

To: File Nos. 4-757, SR-CTA/CQ-2019-01, S7-24-89, SR-CTA/CQ-2019-04, and S7-24-89

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Telephone Call with Representatives of Healthy Markets

On May 5, 2020, Commissioner Hester Peirce and her legal advisor, Richard Gabbert, participated in a telephone call with Tyler Gellasch and Chris Nagy of Healthy Markets.

Participants discussed, among other things, the Commission's Proposed Order Directing the Exchanges and the Financial Industry Regulatory Authority to Submit a New National Market System Plan Regarding Consolidated Equity Market Data and the proposed amendments to the CTA Plan, CQ Plan, and UTP Plan to adopt confidentiality and conflicts of interest policies.