

MEMORANDUM

To: File Nos. 4-757, SR-CTA/CQ-2019-01, S7-24-89, SR-CTA/CQ-2019-04, and S7-24-89

From: Richard Gabbert, Counsel to Commissioner Hester M. Peirce

Re: Meeting with Representatives of Various Market Participants

On February 4, 2020, Commissioners Elad Roisman and Hester Peirce, as well as Nicholas Losurdo and Elizabeth Blase, legal advisors to Commissioner Elad Roisman and Richard Gabbert, legal advisor to Commissioner Hester Peirce, participated in a meeting with the following representatives of the identified firms:

- Nat Evarts, State Street
- Mett Kinak, T.Rowe
- Melissa Hinmon, Glenmede
- Brian Frambes, Fidelity
- Krista Ryan, Fidelity
- Craig Hurl, Ontario Teachers Pension Plan
- Jeff Brown, Schwab
- JJ Kinahan, TD Ameritrade
- Jim Toes, Security Traders Association
- Michael Masone, Citi
- Caroline Pham, Citi
- Matt Billings, Two Sigma
- Patti Sachs, Citi

Participants discussed, among other things, the Commission's Proposed Order Directing the Exchanges and the Financial Industry Regulatory Authority to Submit a New National Market System Plan Regarding Consolidated Equity Market Data and the proposed amendments to the CTA Plan, CQ Plan, and UTP Plan to adopt confidentiality and conflicts of interest policies.