

## MEMORANDUM

**To:** File No. 4-757

**From:** Frank A. Buda  
Counsel to Commissioner Allison Herren Lee  
U.S. Securities and Exchange Commission

**Date:** February 5, 2020

**Re:** Meeting with Market Participants

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On February 4, 2020, Commissioner Lee's counsels, Eric Juzenas and Frank Buda, met with representatives from several equity market participants. The attendees at the meeting included:

- Nat Evarts, State Street
- Mett Kinak, T.Rowe
- Melissa Hinmon, Glenmede
- Brian Frambes, Fidelity
- Krista Ryan, Fidelity
- Craig Hurl, Ontario Teachers Pension Plan
- Jeff Brown, Schwab
- JJ Kinahan, TD Ameritrade
- Jim Toes, Security Traders Association
- Michael Masone, Citi
- Caroline Pham, Citi
- Patti Sachs, Citi
- Matt Billings, Two Sigma

Among the topics discussed was the Commission's Notice of Proposed Order Directing the Exchanges and the Financial Industry Regulatory Authority to Submit a New National Market System Plan Regarding Consolidated Equity Market Data.