

MEMORANDUM

TO: File

FROM: Rebekah Liu
Division of Trading and Markets

DATE: November 10, 2016

RE: Staff meeting with Better Markets

On November 10, 2016 Commission staff met with representatives of Better Markets regarding the proposed National Market System Plan Governing the Consolidated Audit Trail.

Commission staff in attendance included: from the Division of Trading and Markets, Steve Luparello, Director; Dave Shillman, Associate Director; David Hsu, Assistant Director; and Rebekah Liu, Special Counsel.

Better Markets representatives in attendance included Stephen W. Hall, Legal Director and Securities Specialist, and Lev Bagramian, Senior Securities Policy Advisor.