

## MEMORANDUM

**To:** File No. 4-657

**FROM:** Alexander Zozos, Division of Trading and Markets

**DATE:** February 2, 2015

**RE:** Meeting with RGM Advisors, LLC and Squire Patton Boggs, LLP.

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On January 30, 2015, staff from the Division of Trading and Markets (Stephen Luparello, Director; Gary Goldsholle, Deputy Director; Gregg Berman, Associate Director; David Shillman, Associate Director; Daniel Gray, Senior Special Counsel; Theodore Venuti, Senior Special Counsel; Steve Kuan, Special Counsel; Arisa Tinaves, Special Counsel; and Alexander Zozos, Attorney-Advisor), met with representatives of RGM Advisors, LLC (Richard B. Gorelick) and Squire Patton Boggs, LLP (Micah S. Green and Matthew B. Kulkin).

During the meeting, representatives from RGM Advisors, LLC discussed their views regarding the proposed National Market System Plan to implement a tick size pilot program on a one-year pilot basis and various other market structure initiatives.