



**SECURITY CAPITAL BROKERAGE, INC.**  
**260 Peachtree St., NW, STE 2200, Atlanta, GA 30303**

**U.S. Securities & Exchange Commission Chairman – Gary Gensler**  
**Commissioner – Allison Herren Lee**  
**Commissioner – Hester M. Peirce**  
**Commissioner – Elad L. Roisman**  
**Commissioner – Caroline A. Crenshaw**

**100 F Street NE,**  
**Washington, DC 20549-0213**

First, let me start by commending the SEC in creating the Asset Management Advisory Sub-Committee on Diversity and Inclusion. The National Association of Securities Professionals (NASP) is extremely proud to have one of its esteemed members, Mr. Gilbert Garcia, Founder and President/CEO of Garcia Hamilton & Associates, representing the perspective of minorities/women-owned wealth and asset management firms on this Committee.

Over the last few months, the country has awakened to what all of us have known to be realities of today related to racial inequity practices in our economy. It has been our experience that things will not change unless the top decision makers in both government and the private sector are intentional about leveraging diversity equity and inclusion as a means to navigate the intersectionality of race, inequity, power and our economy.

The National Association of Securities Professionals (NASP) is the premier organization dedicated to supporting minorities and women in achieving full inclusion in the financial services industry. An essential component of the organization's effort is to serve as the voice of its members at the federal, state, and local levels of government to advance its mission of diversity and inclusion.

As a Commission, you have before you recommendations that Gilbert Garcia, Scot Draeger and Paul Greff have framed for your adoption. These recommendations are deeply steeped in research, observation, insights, and experience that will redefine the commitment made by the SEC in forming the Commission Sub-Committee on Diversity and Inclusion. By unanimously accepting these recommendations, the Commission will ensure that the words associated with diversity, equity and inclusion by the SEC are grounded in transparency, leadership, and accountability by all involved parties.

We also recommend that the Commission reconstitute the SEC AMAC, Diversity and Inclusion Sub-Committee for another 4 years so that it can continue its great work. We also recommend that the SEC department on diversity and inclusion, headed by Pamela Gibbs, Director, Office of Minority and



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Women Inclusion and Robert Marchman, Senior Policy Advisor on Diversity and Inclusion be reenforced with the appropriate resources to continue their efforts in this important area of the SEC.

In closing, we ask that you accept and adopt the recommendations brought forth by Mr. Garcia and his colleagues.

Let this decision be one of the many legacies of leadership of the SEC Commission's effort to address fairness and equality in the financial services sector.

Thank you for your time and consideration.

Sincerely,

A handwritten signature in dark ink, appearing to read 'Nathan Lewis'. The signature is written in a cursive, flowing style with some loops and flourishes.

**Nathan Lewis**  
President and Chief Executive Officer  
Security Capital Brokerage, Inc.