

MEMORANDUM

To: File No. 10-222

From: Robert Peak
Senior Advisor to Commissioner Kara Stein
U.S. Securities and Exchange Commission

Date: January 6, 2016

Re: Meeting with representatives from Citadel

On January 6, 2016, Commissioner Stein, Jill Henderson, and Robert Peak met with Adam Cooper and Stephen Berger from Citadel and Jamil Nazarali and John Nagel from Citadel Securities. Among the topics discussed was the exchange application of Investors' Exchange LLC (IEX).