

091-2389180

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

DEC 20 2017
WASH, D.C.

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE American, LLC (trading pursuant to unlisted trading privileges)

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

3. Class of New Derivative Securities Product:

Investment Company Units



17019730

4. Name of Underlying Instrument:

CBOE S&P 500 2% OTM BuyWrite Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:

HSPX

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

NYSE, NASDAQ, CBOE

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+2/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Securities and Exchange Commission
Trading and Markets

Manual Signature of Official Responsible for Form:

December 19, 2017

SEC 2449 (1/99)

DEC 21 2017

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	DEC 20 2017

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Martha Redding
Associate General Counsel
Assistant Secretary

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Via Overnight Mail

Securities and Exchange Commission
Trading and Markets

December 19, 2017

Ms. Claudette Ransom
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 7010
Washington, DC 20549

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WASH, D.C.

Re: **19b-4(e) – Transmittal (NYSE American, LLC)**

Dear Ms. Ransom:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long USD vs. JPY Index due December 15, 2032 (DJPY)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long USD vs. EUR Index due December 15, 2032 (DEUR)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long USD vs. GBP Index due December 15, 2032 (DGBP)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long USD vs. CHF Index due December 15, 2032 (DCHF)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long USD vs. AUD Index due December 15, 2032 (DAUD)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long JPY vs. USD Index due December 15, 2032 (UJPY)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long EUR vs. USD Index due December 15, 2032 (UEUR)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long GBP vs. USD Index due December 15, 2032 (UGBP)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long CHF vs. USD Index due December 15, 2032 (UCHF)**
- **Exchange-Traded Notes linked to the VelocityShares Daily 4X Long AUD vs. USD Index due December 15, 2032 (UAUD)**
- **The Pacer WealthShield ETF (PWS)**

- **AdvisorShares Vice ETF (ACT)**
- **American Independence ETF (USAI)**
- **IQ Chaikin U.S. Large Cap ETF (CLRG)**
- **Hartford Municipal Opportunities ETF (HMOP)**
- **Horizons S&P Covered Call ETF (HSPX)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,



Enclosures

Washington DC 408
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Mail Processing
Section

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