|   |   | 091 - 23874m   |
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|   | UNITED STATES<br>SECURITIES AND EXCHANGE<br>Washington, D.C. 205<br>FORM 19b-4(c)   | COMMISSION DEC 202017  |
| Informa<br>Device time Second   | ion Required of a Self-Regulatory Organiz<br>ities Product Pursuant to Rule 19b-4(e) Un   | ation Listing and Trading a New, D   |
| Derivative Secu   | READ ALL INSTRUCTIONS PRIOR TO  |  |
| Part I  | Initial Listing Repor   |  |
|   | ation Listing New Derivative Securities Produ   |  |
| NYSE Arca, Inc.   |   |  |
| 2. Type of Issuer of New Derivativ  | Securities Product (e.g., clearinghouse, broke  | er-dealer, corporation, etc.):   |
| Open-end Management Inves   | ment Company  |  |
| 3. Class of New Derivative Securit  | es Product:   |  |
| Investment Company Units  |   |  |
| 4. Name of Underlying Instrument:   |   |  |
| American Energy Independer  | ce Index  |  |
| 5 If Underlying Instrument is an Ir   | dex, State Whether it is Broad-Based or Narro   | w-Based:   |
| Narrow-Based  | dex, State Whether h is broad based of Marke  |  |
|   |   |  |
| 6. Ticker Symbol(s) of New Deriva   | tive Securities Product:  |  |
| USAI  |   |  |
| The second se | Securities Comprising Underlying Instrument   | Tradaci  |
| NYSE, TORNOTO, NYSE A   |   | Trades.  |
| NISE, IOKNOIO, NISE A   | ierican   |  |
| 8. Settlement Methodology of New  | Derivative Securities Product:  |  |
| Regular way trades settle on 7  | F+2/Book entry only held in DTC.  |  |
| 9. Position Limits of New Derivati  | e Securities Product (if applicable):   |  |
| Not applicable.   |   |  |
|   | Execution   |  |
| delegated its approval to the under<br>relevant trading rules, procedures,                                      | governing body of the above-referenced Self-<br>igned for, the listing and trading of the above-<br>urveillance programs and listing standards. | Regulatory Organization has duly approved, or has duly referenced new derivative securities product according to its   |
| Name of Official Responsible for I  | orm:  | Securities and Exchange Commission   |
| Martha Redding  |   | Trading and Markets  |
| Title:  | d Assistant Saguatawy   | * Provide Annal States Annal |
| Associate General Counsel ar<br>Telephone Number:   | u Assistant Secretary   | DEC 2 1 2017   |
| (212) 656-2938  |   | I the V for 1 / VII  |
| Manual Signature of Official Resp   | onsible for Form:   | DECEIVED   |
| MAR A   | A   | ct Securities Exchange Act of 1934   |
| December 19, 2017   |   |  |
| SEC 2449 (1/99)   |   | ale 196-4(e)   |
| SEC 2449 (1/99)   | Pu  | vailability: DEC 20 2017   |