091-23833jn

For Internal Use Only Sec File No. 9-

Submit 1 Original and 9 Copies

OMB APPROVAL

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **ARK Israeli Innovation Index** 17019664 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Base **Broad-based** Ticker Symbol(s) of New Derivative Securities Product: 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: NASDAQ Stock Market LLC, NYSE, Tel Aviv Stock Exchange Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele Securities and Exchange Commission Title: Trading and Markets Assistant Corporate Secretary Telephone Number: 301-978-8736 Manual Signature of Official Responsible for Form: 2017 Date: December 14 Section Rule SEC 2449 (6-01) Public Availability: