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OMB Number: 3235-0504

Expires: August 31, 2010 Estimated average burden hours per response.....3.60

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## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

| READ ALL INS  | STRUCTIONS PRIOR TO COMPLETING FORM  |
|---|--|
| Part I  | Initial Listing Report   |
| Name of Self-Regulatory Organization Listing No<br>Nasdaq BX, Inc. (traded pursuant to unlist   |  |
| 2. Type of Issuer of New Derivative Securities Prod<br>Open End Management Investment Compa   | uct (e.g., clearinghouse, broker-dealer, corporation, etc.):   |
| 3. Class of New Derivative Securities Product: Exchange Traded Fund   |  |
| 4. Name of Underlying Instrument: Actively managed portfolio of securities  | 17019662   |
| <ol><li>If Underlying Instrument is an Index, State Wheth Not Applicable</li></ol>  | ner it is Broad-Based or Narrow-Basea.   |
| 6. Ticker Symbol(s) of New Derivative Securities Pr<br><b>HFGIC</b>   | roduct:  |
| 7. Market or Markets Upon Which Securities Compu-<br>Listed on: Deutsche Borse, Euronext Ams<br>Kong, London Stock Exchange, NASDAO Sto | rising Underlying Instrument Trades:<br>terdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Hong<br>ock Market LLC, NYSE, Tokyo Stock Exchange, Toronto  |
| 8. Position Limits of New Derivative Securities Proc<br>Regular way trades settle on T + 2 (cash se                                     | duct (if applicable):  |
| <ol> <li>Position Limits of New Derivative Securities Proc<br/>N/A</li> </ol>   |  |
| Part II   | Execution  |
| approved, or has duly delegated its approval new derivative securities product according standards.                                     | ng body of the above-referenced Self-Regulatory Organization has duly<br>to the undersigned for, the listing and trading of the above-referenced<br>to its relevant trading rules, procedures, surveillance programs and listing |
| Name of Official Responsible for Form:  Colleen Steele  | Securities and Exchange Commission   |
| Title:<br>Assistant Corporate Secretary   | Trading and Markets  |
| Telephone Number: 301-978-8736  | DEC 2 0 2017   |
| Manual Signature of Official Responsible by Form:   | RECEIVED   |
| Date: December 14, 2017   | Section 195-4  |
| SEC 2449 (6-01)   | Rule 195-4(e)  |

Public

Availability:

DEC 19-2017