For Internal Use Submit 1 Original Only OMB APPROVAL and 9 Copies Sec File No. 9-OMB Number: 3235-0504 Expires: August 31, 2010 UNITED STATES Estimated average burden SECURITIES AND EXCHANGE COMMISSION hours per response.....3.60 Washington, D.C. 20549 WASH, D. FORM 19b-4(e) Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934 **READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM** Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdag BX, Inc. (traded pursuant to unlisted trading privileges) Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): 2. Corporation 3. Class of New Derivative Securities Product: **Exchange Traded Note** Name of Underlying Instrument: 4. 17019661 VelocityShares Daily 4X Long USD vs. JPY Index 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Baseu. Narrow-based Ticker Symbol(s) of New Derivative Securities Product: 6. DJPY 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: OTC 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: **Colleen Steele** Securities and Exchange Commission Title: Trading and Markets Assistant Corporate Secretary Telephone Number: DEC 20 2017 301-978-8736 Manual Signature of Official Responsible for Form: Date: December 14, 2017 Aci Securities Exchange Act of 1934 SEC 2449 (6-01) Rule 95-4(e Public . DEC 19 2017 Availability: