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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden

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Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges) Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation 3. Class of New Derivative Securities Product: **Exchange Traded Note** Name of Underlying Instrument: VelocityShares Daily 4X Long USD vs. GBP Index

- Ticker Symbol(s) of New Derivative Securities Product: **DGBP**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: OTC

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

- 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II Execution

> The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

Colleen Steele

Securities and Exchange Commission Trading and Markets

Assistant Corporate Secretary

Telephone Number: 301-978-8736

Narrow-based

DEC 20 2017

Manual Signature of Official Responsible for Form:

Date: December 14, 2017

Securities Exchange Act of 1934

SEC 2449 (6-01)

Public Availability:

Section

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