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UNITED STATES

Washington, D.C. 20549

SECURITIES AND EXCHANGE COMMISSION

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response....3.60

Received

FORM 19b-4(e)

DEC 192017

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of/1934 H. D. C

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Corporation
- 3. Class of New Derivative Securities Product:

Exchange Traded Note

4. Name of Underlying Instrument:

VelocityShares Daily 4X Long USD vs. CHF Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Base Narrow-based

17019641

- Ticker Symbol(s) of New Derivative Securities Product:
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: OTC
- 8. Position Limits of New Derivative Securities Product (if applicable):

Regular way trades settle on T + 2 (cash settled)

9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Colleen Steele

Securities and Exchange Commission

Title:

Assistant Corporate Secretary

Trading and Markets

Telephone Number:

301-978-8736

DEC 20 2017

Manual Signature of Official Responsible

the

Date: December 14, 2017

Section

Act

SEC 2449 (6-01)

Rule

19b=4(e)

Public

Availability: