		-091-23806
For Internal Use Only Sec File No. 9-	Submit 1 Original and 9 Copies	OMB APPROVAL OMB Number: 3235-0504
	UNITED STATES ND EXCHANGE COMMISSION Vashington, D.C. 20549	Expires: August 31, 2010 Estimated average burden hours per response3.60
	FORM 19b-4(e)	WASH, DO
	elf-Regulatory Organization Listing an ant to Rule 19b-4(e) Under the Securit	0
READ ALL INS	TRUCTIONS PRIOR TO COMPLET	TING FORM
art I	Initial Listing Report	
 Name of Self-Regulatory Organization Listing Ne Nasdaq PHLX LLC (traded pursuant to unli 		
. Type of Issuer of New Derivative Securities Produ Open End Management Investment Compa		prporation, etc.):
. Class of New Derivative Securities Product: Exchange Traded Fund		
Name of Underlying Instrument: Actively managed portfolio of securities		
If Underlying Instrument is an Index, State Wheth Not Applicable	er it is Broad-Based or Narrow-Based:	
Ticker Symbol(s) of New Derivative Securities Pro	oduct:	
Market or Markets Upon Which Securities Compr Listed on: NASDAQ Stock Market LLC, NY		
Position Limits of New Derivative Securities Prod Regular way trades settle on T + 2 (cash se	imits of New Derivative Securities Product (if applicable): way trades settle on T + 2 (cash settled)	
Position Limits of New Derivative Securities Prod N/A	uct (if applicable):	17019637
art II	Execution	
The undersigned represents that the governin		
approved, or has duly delegated its approval new derivative securities product according t standards.		urveillance programs and listing
approved, or has duly delegated its approval new derivative securities product according t	o its relevant trading rules, procedures, s	
approved, or has duly delegated its approval new derivative securities product according t standards. ame of Official Responsible for Form:	o its relevant trading rules, procedures, s	urveillance programs and listing urities and Exchange Commission Trading and Markets
approved, or has duly delegated its approval new derivative securities product according t standards. ame of Official Responsible for Form: olleen Steele itle: ssistant Corporate Secretary elephone Number: 01-978-8736	o its relevant trading rules, procedures, s	utiics and Exchange Commission
approved, or has duly delegated its approval new derivative securities product according t standards. ame of Official Responsible for Form: olleen Steele itle: ssistant Corporate Secretary elephone Number: 01-978-8736 anual Signature of Official Responsible for form:	o its relevant trading rules, procedures, s	urities and Exchange Commission Trading and Markets
approved, or has duly delegated its approval new derivative securities product according t standards. ame of Official Responsible for Form: olleen Steele itle: ssistant Corporate Secretary elephone Number: 01-978-8736	o its relevant trading rules, procedures, s Securities Act Securities Exchan Section 195-4	urities and Exchange Commission Trading and Markets
approved, or has duly delegated its approval new derivative securities product according t standards. ame of Official Responsible for Form: olleen Steele itle: ssistant Corporate Secretary elephone Number: 01-978-8736 anual Signature of Official Responsible for form:	o its relevant trading rules, procedures, s Secu	urities and Exchange Commission Trading and Markets