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For Internal Use Only Submit 1 Original and 9 Copies OMB APPROVAL Sec File No. 9- UNITED STATES OMB Number: 3235-0504 UNITED STATES August 31, 2013 SECURITIES AND EXCHANGE COMMISSION 5 Washington, D.C. 20549 SEC / TM FORM 19b-4(e) SEC / TM Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934	
Part I Initial Listing Report	
1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: Chicago Stock Exchange, Inc.	
2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):	
3. Class of New Derivative Securities Product: Ownership of the Trust	
A. Name of Underlying Instrument: Hartford Municipal Opportunities ETF	
5. If Underlying Instrument is an Index State Whether it is Broad-Based or Narrow-Based	
 6. Ticker Symbol(s) of New Derivative Securities Product: 	
HMOP	
7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Various	
8. Settlement Methodology of New Derivative Securities F See Prospectus	Product:
9. Position Limits of New Derivative Securities Product (if applicable): 17019636	
See Prospectus 17019630	
Part II Execution	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.	
Name of Official Responsible for Form: Michael J. Cardin	
Title: Director	
Member Regulation Department	
Telephone Number: 312-663-2204	Act Securities Exchange Act of 1934
Manual Signature of Official Responsible for Form:	$\frac{\text{Section}}{\text{Rule}} \frac{196.4}{196-4(e)}$
Date December 18, 2017	Availability: DEC 1 5 2017
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December 18, 2017

By UPS

Claudette Ransom Division of Trading and Markets Securities and Exchange Commission 100 F Street NE – Stop 7010 Washington, DC 20549 RECEIVED

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SEC Mail Processing Section

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Washington DC 408

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

HMOP Hartford Municipal Opportunities ETF

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

ander

Michael J. Cardin V Director Member Regulation Department

Enclosures