

091-23802jn

For Internal Use  
Only  
Sec File No. 92

Submit 1 Original  
and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504  
Expires: August 31, 2019  
Estimated average burden  
hours per response.....3.60

Mail Processing  
Section  
DEC 15 2017  
Washington DC  
408

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**

3. Class of New Derivative Securities Product:  
**Exchange Traded Fund**

4. Name of Underlying Instrument:  
**Actively managed portfolio of primarily equity securities**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**N/A**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**ACT**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**Nasdaq, NYSE, OTC**

8. Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 2 (cash settled)**

9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

2017 DEC 15 PM 3:17  
SEC/TM



17019633

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**William Slattery**

Title:  
**Vice President**

Telephone Number:  
**1-301-978-8088**

Manual Signature of Official Responsible for Form:

Date: December 13, 2017

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)

Public Availability: **DEC 15 2017**