For Internal Use Only Sec File No. 9-Mail Processing

DEC 1 4 2017

Submit 1 Original and 9 Copies

OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2013

Estimated average burden hours per response. . . . . 3.60

## **UNITED STATES** SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## FORM 19b-4(e)

Washington DC Information Required of a Self-Regulatory Organization Listing and Trading a New

Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934			
READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
Part I	Initial Listing Report		
1.	Name of Self-Regulatory Organization Listing New Derivative Section Chicago Stock Exchange, Inc.	urities Product:	
2.	Type of Issuer of New Derivative Securities Product (e.g., clearing)  Trust	nouse, broker-dealer, corporation, etc.):	
3.	Class of New Derivative Securities Product:  Ownership of the Trust		
<ol> <li>4.</li> <li>5.</li> </ol>	Name of Underlying Instrument:  AdvisorShares Vice ETF If Underlying Instrument is an Index. State Whether it is Broad-Bas	sed or Narrow-Rased	
6.	Narrow-Based  Ticker Symbol(s) of New Derivative Securities Product:	NA THATTOW-DASCA.	
7.	ACT  Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:		
8.	Various  Settlement Methodology of New Derivative Securities Product:		
9.	See Prospectus  Position Limits of New Derivative Securities Product (if applicable See Prospectus	<u></u> ):	
Part II	Execution	17019627	
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.  Securities and Exchange Commission			
	f Official Responsible for Form: Michael J. Cardin	Traing and Markets	
	Director Member Regulation Department	DEC 15 2017	
	one Number: 312-663-2204	Act RECEIVED	
Manual Date:	Signature of Official Responsible for Form:	Section 190-4 Rule 196-4(e)	
I	December 12, 2017	Public Availability: DEC 1 4 2017	



SEC
Mail Processing
Section
DEC 1 4 2017
Washington DC

408

December 12, 2017

## **By UPS**

Claudette Ransom
Division of Trading and Markets
Securities and Exchange Commission
100 F Street NE – Stop 7010
Washington, DC 20549

Re: Form 19b-4(e) for exchange traded product

Dear Ms. Ransom:

Pursuant to Rule 19b-4(e) of the Securities Exchange Act of 1934, I enclose for filing an original and four copies of the Form 19b-4(e) relating to the following exchange traded product:

ACT	AdvisorShares Vice ETF
	L

If you have any questions about this filing, please contact the undersigned at (312) 663-2204 or Kevin Curtin at (312) 663-2252.

Sincerely,

Michael J. Cardin

Director

Member Regulation Department

Securities and Exchange Commission

Trading and Markets

DEC 75 2017

**RECEIVED** 

**Enclosures**