091-237056

For Internal Use Only Sec File No. 9- ()

Submit 1 Original and 9 Copies

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB APPROVAL

OMB Number: 3235-0504 Expires: August 31, 2010 Estimated average burden hours per response......3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I	Initiz	al Listing Report			
 Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq BX, Inc. (traded pursuant to unlisted trading privileges) 					
	rivative Securities Product (e.g., c nt Investment Company	learinghouse, broke	-unities		
3. Class of New Derivative S Exchange Traded Fun			Trading and Man NOV		
	J.S. Corporate Bond Index		NOW	Commission	
 If Underlying Instrument Broad-based 	is an Index, State Whether it is Br	oad-Based or Narro	w-Based: RE0 2017	0 SION	
6. Ticker Symbol(s) of New Derivative Securities Product: VTC					
 Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: OTC 					
 Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled) 					
 Position Limits of New D N/A 	erivative Securities Product (if app	plicable):			
Part II	art II Execution				
approved, or has dul	y delegated its approval to the und	dersigned for, the lis	ed Self-Regulatory Organization ha ting and trading of the above-reference rocedures, surveillance programs an	enced	
Name of Official Responsible for Form: Colleen Steele					
Title: Assistant Corporate Secretary					
Telephone Number: 301-978-8736			17019536		
Manual Signature of Official	Responsible for to my	2 Act	Securities Exchange Act of 1934.	_	
Date: November 27, 2017	C. Pr.	Section Rule	<u>196-4</u> 19b-4(e)		
SEC 2449 (6-01)		Public Availability:	NOV 2 9 2017		