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17019460

OMB Number: 3235-0504 Expires: August 31, 2010

Estimated average burden hours per response.....3.60

UNITED STATES

NOV 2 4 2017 SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Washington DC 411

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

# READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

### Part I

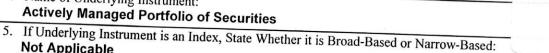
## Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product: Nasdaq Stock Market LLC (traded pursuant to unlisted trading privileges)
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Open End Management Investment Company
- Class of New Derivative Securities Product:

**Exchange Traded Fund** 

4. Name of Underlying Instrument:

Actively Managed Portfolio of Securities



Not Applicable Ticker Symbol(s) of New Derivative Securities Product:

**FFSG** 

Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: BATS, NASDAQ Stock Market LLC, NYSE Arca

8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 2 (cash settled)

Position Limits of New Derivative Securities Product (if applicable): N/A

## Part II

#### Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing

Name of Official Responsible for Form:

Colleen Steele

Securities and Exchange Commission

Title:

Assistant Corporate Secretary

Telephone Number:

301-978-8736

Manual Signature of Official Responsible for I

Date: November 08, 2017

SEC 2449 (6-01)

Section

Availability: