For Internal Use Only Sec File No. 9-

SEC MAIL PROPRIED

OCT 17 2011

OCT 17 2011

Submit 1 Original and 9 Copies

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL
OMB Number: 3235-0504
Expires: July 31, 2016

Expires: July 31, 2016 Estimated average burden hours per response. . . . 3.60

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM							
Part I Initial Listing Report							
 Name of Self-Regulatory Organization Listing New Derivative Securities Product: Bats EDGX Exchange, Inc. 							
2. Type of Issuer of New Derivative See Attached.	Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):						
3. Class of New Derivative Securitie See Attached.	es Product:						
4. Name of Underlying Instrument: See Attached.		170					
If Underlying Instrument is an Index, State Whether it is proad-Based or Narrow-Based: See Attached.							
6. Ticker Symbol(s) of New Derivation See Attached.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.						
7. Market or Markets Upon Which Securities Comprising Underlying Instrument							
8. Settlement Methodology of New 1	Trades: See Attached. Settlement Methodology of New Derivative Securities Product: Trades Locked in at Exchange and settled at NSCC.						
9. Position Limits of New Derivative Securities Product (if applicable):							
Not Applicable.							
Part II Execution							
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Act Securities Residue Act of 1934							
Name of Official Responsible for Form:	Anders Franzon	PROPERTY COMPANIES AND AND ADDRESS AND ADD	196-4 196-4(c)				
Title: SVP, Associate General Counsel		Public Availability:	OCT 1 7 2017	-			
Telephone Number: 913-815-7154							
Manual Signature of Official Responsible	for Form:	in					
Date:							

ATTACHMENT TO FORM 19b-4(e)
FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGX EXCHANGE, INC.
COMMENCING ON OCTOBER 10, 2017

(6) <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
		Investment	Ned Davis Research CMG US Large Cap Long/Flat		
LFEQ	Trust	Company Units	Index	U.S.	Broad



SEC MAIL PROCESSING Received

OCT 17 2017

WASH, D.C.

October 16, 2017

Ms. Claudette Ransom
Mail Stop 6628
Securities and Exchange Commission
100 F Street, N.E.
Washington, DC 20549

RE: Form 19b-4(e) – Bats EDGX Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Bats EDGX Exchange on October 10, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon

SVP, Associate General Counsel

913.815.7154

RECENTURY 3: 2