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# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

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Washington, D.C. 20549

# FORM 19b-4(e)

SEC MAIL PROCESSING Received OCT 122017 Sunformation Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

### READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I	I Initial Listing Report					
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product:					
	Bats BZX Exchange, Inc.					
2.	Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearinghouse, broker-dealer, corporation, etc.): See Attached.					
3.	Class of New Derivative Securities Product: See Attached.					
4.	Name of Underlying Instrument:17019433See Attached.17019433					
5.	If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: See Attached.					
6.	Ticker Symbol(s) of New Derivative Securities Product: See Attached.					
7.	Market or Markets Upon Which Securities Comprising Underlying Instrument					
8.	Trades: See Attached. Settlement Methodology of New Derivative Securities Product:					
0.	Trades Locked in at Exchange and settled at NSCC.					
0	Position Limits of New Derivative Securities Product (if applicable):					
9.	Not Applicable.					
Part II Execution						
The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.						
Name	of Official Responsible for Form: Anders Franzon Public					
Title:	SVP, Associate General Counsel					
Teleph	one Number: 913-815-7154					
Manua	l Signature of Official Responsible for Form:					
Date:	October 11, 2017					

SEC 2449 (6-01)

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS BZX EXCHANGE, INC. COMMENCING ON OCTOBER 5, 2017

<u>(6)</u> <u>Ticker</u> Symbol	(2) Type of Issuer of NDSP	(3) Class of NDSP	(4) Name of Underlying Instrument	(7) Market(s) upon Which Securities Comprising Underlying Instrument Trades	(5) Broad or Narrow
		Investment	Bloomberg Barclays MSCI US Aggregate ESG Select		
NUBD	Trust	Company Units	Index	U.S.	Broad

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WASH, D.C.

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SEC MAIL PROCESSING Received OCT 122017 WASH, D.C.

October 11, 2017

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549

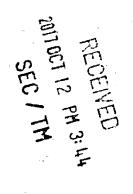
## RE: Form 19b-4(e) – Bats BZX Exchange, Inc.

Ms. Ransom,

On behalf of Bats BZX Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding one (1) derivative securities product that commenced trading on Bats BZX Exchange on October 5, 2017. Please contact me if you have any questions in connection with this matter.

Sincerely,

Anders Franzon V SVP, Associate General Counsel 913.815.7154



Bats Global Markets, Inc. | 8050 Marshall Drive, Suite 120 | Lenexa 66214