|  | 091 - 23591 kA                        |
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| For Internal Use<br>Only<br>Sec File No. 9-<br>SEC MALE PEOCESSING<br>SECURITIES AND EXCHANGE COMMISS<br>Washington, D.C. 20549<br>MASH, D.C.<br>WASH, D.C.<br>FORM 19b-4(e)<br>Information Required of a Self-Regulatory Organization I<br>Derivative Securities Product Pursuant to Rule 19b-4(e) Under th |                                       |
|  |                                       |
| READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM   |                                       |
| Part I Initial Listing Report  |                                       |
| <ol> <li>Name of Self-Regulatory Organization Listing New Derivative Securities Product:<br/>Nasdaq PHLX LLC (traded pursuant to unlisted trading privileges)</li> </ol>   |                                       |
| 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-d<br>Open End Management Investment Company  | lealer, corporation, etc.):           |
| 3. Class of New Derivative Securities Product:<br>Exchange Traded Fund   | H X P                                 |
| <ol> <li>Name of Underlying Instrument:</li> <li>U.S. Large Cap Fossil Fuel Free Index</li> </ol>  |                                       |
| 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-I Broad-based   | Based:                                |
| <ol> <li>Ticker Symbol(s) of New Derivative Securities Product:<br/>CHGX</li> </ol>  |                                       |
| 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Tra<br>Listed on: NASDAQ Stock Market LLC, NYSE  | ndes                                  |
| <ol> <li>Position Limits of New Derivative Securities Product (if applicable):<br/>Regular way trades settle on T + 2 (cash settled)</li> </ol>  |                                       |
| <ol> <li>Position Limits of New Derivative Securities Product (if applicable):<br/>N/A</li> </ol>  | 17019406                              |
| Part II Execution  |                                       |
| The undersigned represents that the governing body of the above-referenced<br>approved, or has duly delegated its approval to the undersigned for, the listing<br>new derivative securities product according to its relevant trading rules, process<br>standards.   | g and trading of the above-referenced |
| Name of Official Responsible for Form:<br>Colleen Steele   |                                       |
| Title:<br>Assistant Corporate Secretary  |                                       |
| Telephone Number:<br>301-978-8736  |                                       |
| Manual Signature of Official Responsible for Form.   | Securities Exchange Act of 1934       |
| Date: October 16, 2017 Rule  | 196-4 (e)                             |
| SEC 2449 (6-01) Public Availabili  | ity: OCT 1 7 2017                     |