

091-235107fn

For Internal Use Only	Submit 1 Original	OMB Approval No.:
Sec File No. 91 - RECEIVED	and 9 Copies	Expires:

Estimated average burden hours per response: 2.00

2017 SEP .8 PM 1:29  
SEC / TM

**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **SEC**  
**NYSE Arca, Inc.** Mail Processing Section

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open-end Management Investment Company** SEP 07 2017

3. Class of New Derivative Securities Product: **Washington DC**  
**Investment Company Units** 408

4. Name of Underlying Instrument:  
**RAFI Dynamic Multi-Factor Developed Ex-U.S. Index**

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**

6. Ticker Symbol(s) of New Derivative Securities Product:  
**MFDX**



**17019382**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**LONDON, TOKYO, OMX NORDIC COPENHAGEN, EURONEXT AMSTERDAM, XETRA, ASX, SIX SWISS, MADRID, MILAN, EURONEXT BRUSSELS, EURONEXT PARIS, TORONTO, VIENNA, OMX NORDIC HELSINKI, NEW ZEALAND, TEL AVIV, OSLO, OMX NORDIC STOCKHOLM, SINGAPORE, HONG KONG, EURONEXT LISBON, DUBLIN**

8. Settlement Methodology of New Derivative Securities Product:  
**Regular way trades settle on T+3/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):  
**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Martha Redding**

Title:  
**Associate General Counsel and Assistant Secretary**

Telephone Number:  
**(212) 656-2938**

Manual Signature of Official Responsible for Form:

**September 6, 2017**

<b>Act</b>	<b>Securities Exchange Act of 1934</b>
<b>Section</b>	<b>19b-4</b>
<b>Rule</b>	<b>19b-4(e)</b>
<b>Public Availability:</b>	<b>SEP 7 - 2017</b>