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UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc.

SEC  
Mail Processing  
Section

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

SEP 07 2017

3. Class of New Derivative Securities Product:

Investment Company Units

Washington DC  
408

4. Name of Underlying Instrument:

RAFI Dynamic Multi-Factor Emerging Markets Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

Broad-Based



17019381

6. Ticker Symbol(s) of New Derivative Securities Product:

MFEM

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

UNITED KINGDOM, KOREA, HONG KONG, TAIWAN, TAIPEI, MEXICO, PHILIPPINES, ATHENS, WARSAW,  
BSE INDIA, INDONESIA, THAILAND, JSE, MICEX, SANTIAGO, MALAYSIA, ISTANBUL, SAO PAULO,  
LONDON, SINGAPORE

8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

*Martha Redding*  
September 6, 2017  
SEC 2449 (1/99)

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 7 - 2017