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REPORT FOR THE PERIC	DD BEGINNING 07/01/10	MM/DD/YY	AND ENDING		D/YY
•	A. REGISTRA	NT IDENTIFI	CATION		
NAME OF BROKER-DEA	LER: Millington Investm	ents, LLC		OFFICIAL USE ONLY	
ADDRESS OF PRINCIPAL	L PLACE OF BUSINESS: (	Do not use P.O. E	lox No.)	FI	RM I.D. NO.
222 S. Mill Street	, 	-			······
None	n illo	(No. and Street)		60540	
(City)	erville	(State)		(Zip Code)	
	E NUMBER OF PERSON TO		REGARD TO THIS RE		
Charles G Millington				630-922-7900	
	D ACCOUNTA			(Area Code -	- Telephone Number)
	B. ACCOUNTA				
	ACCOUNTANT whose opir		n this Report*		
DeMarco Sciaccott	a Wilkens & Dunleav	ry, LLP			
	•	individual. state last,			2000
9645 W. Lincolnw	ay Lane, Suite 214A Fra		Illino	is	<b>6</b> 0423
(Address)	(City	<i>i</i> )	(State)	$\zeta \cap$	(Zip Code))
CHECK ONE:	,				
Certified Pul	blic Accountant			ari interne	
Public Acco	untant				2
Accountant	not resident in United States	or any of its poss	essions.		 
·	FOR O	FICIAL USE C	DNLY		
* Maine for mounting from	the manine many that the arms	al ronart he amos	ad by the opinion of an	independent	nublic accountant

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1410 (06-02)

# OATH OR AFFIRMATION

I, Charl	es G. Millington	, swear (or affirm) that, to the best of
	vledge and belief the accompanying fination in the second se	ancial statement and supporting schedules pertaining to the firm of
of June	30	, 2017 , are true and correct. I further swear (or affirm) that
	the company nor any partner, proprietor d solely as that of a customer, except as	r, principal officer or director has any proprietary interest in any account follows:
My	OFFICIAL SEAL CATHLEEN H WILLSON TARY PUBLIC, STATE OF ILLINOIS Commission Expires Jun 15, 2019 Deferse Jun 15, 2019 Notary Public	<u>Chally Millingto</u> Signature <u>CEO</u> Title
X (a)   X (b)   C (c)   C (d)   C (e)   C (f)   C (f)	Statement of Changes in Liabilities Sub Computation of Net Capital. Computation for Determination of Rese Information Relating to the Possession of A Reconciliation, including appropriate Computation for Determination of the R A Reconciliation between the audited an consolidation. An Oath or Affirmation. A copy of the SIPC Supplemental Report	dition. Equity or Partners' or Sole Proprietors' Capital. ordinated to Claims of Creditors. rve Requirements Pursuant to Rule 15c3-3. or Control Requirements Under Rule 15c3-3. explanation of the Computation of Net Capital Under Rule 15c3-1 and the Reserve Requirements Under Exhibit A of Rule 15c3-3. and unaudited Statements of Financial Condition with respect to methods of

\*\* For conditions of confidential treatment of certain portions of this filing, see section 240.17a-5(e)(3).



#### REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

Members of Millington Investments, LLC

We have audited the accompanying statement of financial condition of Millington Investments, LLC as of June 30, 2017, that is filed pursuant to Rule 17a-5 under the Securities Exchange Act of 1934, and the related notes to the statement of financial condition. The Company's management is responsible for this financial statement. Our responsibility is to express an opinion on this financial statement based on our audit.

We conducted our audit in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audit to obtain reasonable assurance about whether the statement of financial condition is free of material misstatement. The Company is not required to have, nor were we engaged to perform, an audit of its internal control over financial reporting. Our audit included consideration of internal control over financial reporting as a basis for designing audit procedures that are appropriate in the circumstances, but not for the purpose of expressing an opinion on the effectiveness of the Company's internal control over financial reporting. Accordingly, we express no such opinion. An audit also includes examining, on a test basis, evidence supporting the amounts and disclosures in the statement of financial condition, assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall presentation of the statement of financial condition. We believe that our audit provides a reasonable basis for our opinion.

In our opinion, the statement of financial condition referred to above presents fairly, in all material respects, the financial position of Millington Investments, LLC as of June 30, 2017, in accordance with accounting principles generally accepted in the United States of America.

Dettarco Sciaculta Willow & Soulary U.P

Frankfort, Illinois July 17, 2017

# MILLINGTON INVESTMENTS, LLC

# STATEMENT OF FINANCIAL CONDITION

# JUNE 30,2017

# ASSETS

Cash		50,232
Due from related party		85,287
Other receivables		1,884
TOTAL ASSETS	\$	137,403

# LIABILITIES AND MEMBERS' CAPITAL

LIABILITIES Accounts payable, accrued expenses and other liabilities	<u>\$</u>	-0-
MEMBERS' CAPITAL	Ş	137,403
TOTAL LIABILITIES AND MEMBERS' CAPITAL	\$	137,403

The accompanying notes are an integral part of this financial statement.

# MILLINGTON INVESTMENTS, LLC

#### NOTES TO STATEMENT OF FINANCIAL CONDITION

#### YEAR ENDED JUNE 30, 2017

## NOTE 1 - SIGNIFICANT ACCOUNTING POLICIES

Organization – Millington Investments, LLC (the "Company") was organized in the state of Illinois on July 30, 2013. The Company acts as an introducing broker/dealer in the purchase and sale of securities. The Company is registered as a broker/dealer with the Securities and Exchange Commission and is a member of the Financial Industry Regulatory Authority (FINRA). The Company has agreements with third parties to act as a selling agent for variable annuities, 529 education funding plans and mutual funds formed pursuant to the Investment Company Act of 1940.

Securities Transactions - Commission revenue and related expense arising from securities transactions are recorded on a trade date basis, which is the same business day as the transaction date.

Concentrations of Credit Risk - The Company is engaged in various brokerage activities in which the counterparties primarily include broker/dealers, banks, other financial institutions and the Company's own customers. In the event the counterparties do not fulfill their obligations, the Company may be exposed to risk. The risk of default depends on the creditworthiness of the counterparty or issuer of the instrument. It is the Company's policy to review, as necessary, the credit standing of each counterparty.

In addition, the Company's cash is on deposit at one financial institution and the balance at times may exceed the federally insured limit. The Company believes it is not exposed to any significant credit risk to cash.

Estimates - The preparation of financial statements in conformity with accounting principles generally accepted in the United States of America requires management to make estimates and assumptions that affect the reported amounts of assets and liabilities and disclosure of contingent assets and liabilities at the date of the financial statements and the reported amounts of revenues and expenses during the reporting period. Actual results could differ from those estimates.

#### MILLINGTON INVESTMENTS, LLC

### NOTES TO STATEMENT OF FINANCIAL CONDITION

#### YEAR ENDED JUNE 30, 2017

#### NOTE 2 - NET CAPITAL REQUIREMENTS

As a registered broker/dealer and member of the Financial Industry Regulatory Authority, the Company is subject to the Uniform Net Capital Rule, which requires the maintenance of minimum net capital and requires that the ratio of aggregate indebtedness to net capital, both as defined, shall not exceed 1500%. At June 30, 2017, the Company's net capital and required net capital were \$50,232 and \$5,000 respectively. The ratio of aggregate indebtedness to net capital was 0%.

## NOTE 3 - RELATED PARTY

The Company is owned by two individuals. The Company is related to Millington & Company, Inc., which is owned 100% by one of the Company's members. The Company is also related to Millington Financial Advisors, LLC, which is also owned by the Company's members.

As of June 30, 2017, Millington & Company, Inc. owes the Company \$85,287.

# NOTE 4 - INCOME TAXES

The Company is a partnership for income tax purpose. Therefore, all of the income and expense of the Company is reported on the members' personal income tax returns.

The Company accounts for any potential interest or penalties related to possible future liabilities for unrecognized income tax benefits as other expense. The Company is not subject to examination by tax authorities for federal, state or local income taxes for periods before 2014, the year of inception.

### NOTE 5 – OTHER RECEIVABLES

Other receivables include commissions receivable of \$1,673 and franchise tax receivable of \$211.