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SECURITIES AND EXCHANGE COMMISSION  
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ANNUAL REPORT  
 FORM X-17A-5  
 PART III

SEC FILE NUMBER  
 8-69060

FACING PAGE

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGINNING 01-01-15 AND ENDING 12-31-15  
MM/DD/YY

A. REGISTRANT IDENTIFICATION

NAME OF BROKER-DEALER: Huffman Prairie Capital LLC  
 ADDRESS OF PRINCIPAL PLACE OF BUSINESS: (Do not use P.O. Box No.)  
4495 Centerville Business Parkway  
(No. and Street)  
Centerville OH 45459  
(City) (State) (Zip Code)

OFFICIAL USE ONLY
FIRM I.D. NO.

NAME AND TELEPHONE NUMBER OF PERSON TO CONTACT IN REGARD TO THIS REPORT  
Robert Holzappel 937-545-7394  
(Area Code - Telephone Number)

B. ACCOUNTANT IDENTIFICATION

INDEPENDENT PUBLIC ACCOUNTANT whose opinion is contained in this Report\*  
Clark Schafer Hackett & CO  
(Name - if individual, state last, first, middle name)  
14 East Main Street Springfield OH 45502  
(Address) (City) (State) (Zip Code)

CHECK ONE:

- Certified Public Accountant
- Public Accountant
- Accountant not resident in United States or any of its possessions.

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\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

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RMS



Statement of Financial Condition  
December 31, 2015  
With Independent Auditors' Report

10510 Springboro Pike  
Miamisburg, OH 45342  
937.434.3095  
937.434.6215 fax



## REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

Board of Trustees  
Huffman Prairie Capital, LLC  
Dayton, Ohio

We have audited the accompanying statement of financial condition of Huffman Prairie Capital, LLC (a State of Ohio Corporation), (the Company), as of December 31, 2015 and 2014, and the related statements of operations and changes in member's equity and cash flows for the years then ended. These financial statements are the responsibility of Huffman Prairie Capital, LLC's management. Our responsibility is to express an opinion on these financial statements based on our audits.

We conducted our audits in accordance with the standards of the Public Company Accounting Oversight Board (United States). Those standards require that we plan and perform the audits to obtain reasonable assurance about whether the financial statements are free of material misstatement. An audit includes examining, on a test basis, evidence supporting the amounts and disclosures in the financial statements. An audit also includes assessing the accounting principles used and significant estimates made by management, as well as evaluating the overall financial statement presentation. We believe that our audits provide a reasonable basis for our opinion.

In our opinion, the financial statements referred to above present fairly, in all material respects, the financial condition of Huffman Prairie Capital, LLC as of December 31, 2015, and the results of its operations and its cash flows for the years then ended in accordance with accounting principles generally accepted in the United States of America.

The Schedule of Net Capital has been subjected to audit procedures performed in conjunction with the audits of Huffman Prairie Capital, LLC's financial statements. The supplemental information is the responsibility of Huffman Prairie Capital, LLC's management. Our audit procedures included determining whether the supplemental information reconciles to the financial statements or the underlying accounting and other records, as applicable, and performing procedures to test the completeness and accuracy of the information presented in the supplemental information. In forming our opinion on the supplemental information, we evaluated whether the supplemental information, including its form and content, is presented in conformity with 17 C.F.R. §240.17a-5. In our opinion, the Schedule of Net Capital is fairly stated, in all material respects, in relation to the financial statements as a whole.

*Clark Schaefer Hackett & Co.*

Springfield, Ohio  
February 24, 2016

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springfield, oh 45502

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Huffman Prairie Capital, LLC  
Statement of Financial Condition  
December 31, 2015 and 2014

	<u>2015</u>	<u>2014</u>
Assets		
Current assets:		
Cash	\$ 51,090	65,127
Prepaid	<u>9,058</u>	<u>9,348</u>
Total assets	\$ <u>60,148</u>	<u>74,475</u>
Member's equity	\$ <u>60,148</u>	<u>74,475</u>

See accompanying notes to the financial statements.

**Huffman Prairie Capital, LLC**  
**Statement of Operations and Changes in Member's Equity**  
**For the Years Ended December 31, 2015 and 2014**

	2015	2014
<b>Expenses:</b>		
Broker Dealer-Legal Expense	\$ -	1,440
Courier	251	-
Computer support	450	392
Accounting Fee	5,650	5,500
Legal Fees	5,644	12,407
Licenses and Fees	1,890	724
Insurance Expense	442	1,754
Total expenses	14,327	22,217
Net loss	(14,327)	(22,217)
Member's equity, beginning of year	74,475	96,692
Member's equity, end of year	\$ 60,148	74,475

See accompanying notes to the financial statements.

**Huffman Prairie Capital, LLC**  
**Statement of Cash Flows**  
For the Years Ended December 31, 2015 and 2014

	<u>2015</u>	<u>2014</u>
Cash flows from operating activities:		
Net loss	\$ (14,327)	(22,217)
Adjustments to reconcile net loss to net cash provided by (used in) operating activities		
Prepays	<u>290</u>	<u>(175)</u>
Net cash used in operating activities	<u>(14,037)</u>	<u>(22,392)</u>
Decrease in cash	(14,037)	(22,392)
Cash, beginning of year	<u>65,127</u>	<u>87,519</u>
Cash, end of year	\$ <u>51,090</u>	<u>65,127</u>

See accompanying notes to the financial statements.

**1. SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES:**

The following accounting principles and practices of the Company are set forth to facilitate the understanding of data presented in the financial statements:

**Description of business**

Huffman Prairie Capital is an Ohio Limited Liability Company operating as a broker-dealer registered with the Securities and Exchange Commission (SEC) and is a member of Financial Industry Regulatory Authority (FINRA). The Company is a fully disclosed registered broker/dealer engaged primarily in selling investment interest in the Connor Group Funds. To date no investment interests have been sold through Huffman Prairie. The Company is located in Dayton, Ohio.

**Method of accounting**

The Company prepares its financial statements on the accrual basis method of accounting.

**Use of estimates**

Financial statements prepared in conformity with accounting principles generally accepted in the United States of Ohio requires management to make estimates and assumptions that affect certain reported amounts and disclosures. Accordingly, actual results could differ from those estimates.

**Income taxes**

The Partnership is a limited liability company. Accordingly, no provision for income taxes has been made in the accompanying financial statements since any tax liability is that of the Company's member and not of the Company.

**Subsequent events**

The Company evaluates events and transactions occurring subsequent to the date of the financial statements for matters requiring recognition or disclosure in the financial statements. The accompanying financial statements consider events through February 24, 2016, the date which the financial statements were available to be issued.

**2. RELATED PARTY TRANSACTIONS:**

The Company's sole stockholder is the Connor Group, on whose behalf all investments are sold. The Company is operated out the Connor Groups main office. All expenses the Connor Group incurs on behalf of the Company are expensed directly.

**3. NET CAPITAL REQUIREMENTS:**

The Company is subject to the Uniform Net Capital Rule (Rule 15c3-1) of the Securities and Exchange Commission. This rule, requires the maintenance of minimum net capital and that the ratio of aggregate indebtedness to net capital (both as defined) shall not exceed 15 to 1. In addition, equity capital may not be withdrawn if the resulting net capital ratio would exceed 10 to 1. At December 31, 2015, the Company had net capital of \$51,090, which was \$46,090 in excess of its required net capital of \$5,000. The Company's aggregate indebtedness to net capital ratio at December 31, 2015 was 0.0 to 1.0. In compliance with the SEC Rule 17a5(d)(4), there were no differences between the net capital computation as audited and the fourth quarter FOCUS IIA report.

**Huffman Prairie Capital, LLC**  
Schedule of Net Capital Under Rule 15c3-1 of the  
Securities and Exchange Commission  
December 31, 2015

Net Capital:		
Total member's equity	\$	60,148
Deduct non-allowable assets-prepays		<u>9,058</u>
Net capital	\$	<u>51,090</u>
Total aggregate indebtedness	\$	<u>-</u>
Ratio: Aggregate indebtedness to net capital		0.0 to 1.0
Minimum net capital required	\$	<u>5,000</u>
Excess Net Capital	\$	<u>46,090</u>

There were no differences between the net capital computation presented above and the fourth quarter FOCUS IIA report.



**REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM**

Board of Directors  
Huffman Prairie Capital, LLC  
Dayton, Ohio

We have reviewed management's statements, included in the accompanying Exemption Report, in which (1) Huffman Prairie Capital, LLC identified the following provisions of 17 C.F.R. §15c3-3(k) under which Huffman Prairie Capital, LLC claimed an exemption from 17 C.F.R. §240.15c3-3: (2)(ii) (the "exemption provisions") and (2) Huffman Prairie Capital, LLC stated that Huffman Prairie Capital, LLC met the identified exemption provisions throughout the period June 1, 2015 to December 31, 2015 without exception. Huffman Prairie Capital, LLC's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about Huffman Prairie Capital, LLC's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(ii) of Rule 15c3-3 under the Securities Exchange Act of 1934.

*Clark Schaefer Hackett & Co.*

Springfield, Ohio  
February 24, 2016

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springfield, oh 45502

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**Huffman Prairie's Exemption Report**

Huffman Prairie (The "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. § 240.17a-5(d)(1) and (4). To the best of its knowledge and belief, the Company states the following:

(1) The Company claimed an exemption from 17 C.F.R. § 240.15c3-3 under the following provisions of 17 C.F.R. § 240.15c3-3 (k): (2) (ii).

(2) The Company met the identified exemption provisions in 17 C.F.R. § 240.15c3-3(k) throughout the most recent fiscal year without exception.

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Huffman Prairie

I, Robert Holzapfel, swear (or affirm) that, to my best knowledge and belief, this Exemption Report is true and correct.

By: \_\_\_\_\_

Title: Chief Financial Officer

January 6, 2016