

SECURITIES AND EXCHANGE COMMISSION

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**DIVISION OF TRADING & MARKETS** 

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## **FACING PAGE**

Information Required of Brokers and Dealers Pursuant to Section 17 of the Securities Exchange Act of 1934 and Rule 17a-5 Thereunder

REPORT FOR THE PERIOD BEGIN	NING 1/1/16	AND ENDING	12/3/11/
REFORT TOR THE TERES SECTION	MM/DD/YY		MM/DD/YY
	. REGISTRANT IDENT		
NAME OF BROKER-DEALER: H	ina Securit	ies Ine	OFFICIAL USE ONLY
ADDRESS OF PRINCIPAL PLACE O	OF BUSINESS: (Do not use F	P.O. Box No.)	FIRM I.D. NO.
	(No. and Stree	1)	
(City)	(State)		(Zip Code)
NAME AND TELEPHONE NUMBER	OF PERSON TO CONTACT	IN REGARD TO THIS	REPORT
			(Area Code – Telephone Number
B	ACCOUNTANT IDEN	<b>FIFICATION</b>	
INDEPENDENT PUBLIC ACCOUNT	ANT whose opinion is contained.	ned in this Report*	
	(Name - if individual, state	last, first, middle name)	· · · · · · · · · · · · · · · · · · ·
(Address)	(City)	(State	(Zip Code)
CHECK ONE:			·
Certified Public Accoun	tant	?	
☐ Public Accountant			
☐ Accountant not resident	in United States or any of its	possessions.	
	FOR OFFICIAL US	E ONLY	

\*Claims for exemption from the requirement that the annual report be covered by the opinion of an independent public accountant must be supported by a statement of facts and circumstances relied on as the basis for the exemption. See Section 240.17a-5(e)(2)

# **Deloitte**

SEC Mail Processing Section

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Washington DC 414

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#### REPORT OF INDEPENDENT REGISTERED PUBLIC ACCOUNTING FIRM

To the Board of Directors and Stockholders of Hines Securities, Inc.

We have reviewed management's statements, included in the accompanying Hines Securities, Inc. Exemption Report, in which (1) Hines Securities, Inc. (the "Company") identified the following provisions of 17 C.F.R. § 240.15c3-3(k) under which the Company claimed an exemption from 17 C.F.R. § 240.15c3-3: paragraph (k)(2)(i) (the "exemption provisions") and (2) the Company stated that the Company met the identified exemption provisions throughout the year ended December 31, 2016 without exception. The Company's management is responsible for compliance with the exemption provisions and its statements.

Our review was conducted in accordance with the standards of the Public Company Accounting Oversight Board (United States) and, accordingly, included inquiries and other required procedures to obtain evidence about the Company's compliance with the exemption provisions. A review is substantially less in scope than an examination, the objective of which is the expression of an opinion on management's statements. Accordingly, we do not express such an opinion.

Based on our review, we are not aware of any material modifications that should be made to management's statements referred to above for them to be fairly stated, in all material respects, based on the provisions set forth in paragraph (k)(2)(i) of Rule 15c3-3 under the Securities Exchange Act of 1934.

February 24, 2017

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SEC / TH

## Hines Securities, Inc.

### **Exemption Report**

Hines Securities, Inc. (the "Company") is a registered broker-dealer subject to Rule 17a-5 promulgated by the Securities and Exchange Commission (17 C.F.R. §240.17a-5, "Reports to be made by certain brokers and dealers"). This Exemption Report was prepared as required by 17 C.F.R. § 240.17a-5(d)(1) and (4). To the best of its knowledge and belief, the Company states the following:

- (1) The Company claimed an exemption from 17 C.F.R. § 240.15c3-3 under the following provisions of 17 C.F.R. § 240.15c3-3 (k): (k)(2)(i) of Rule 15c3-3 under the Securities Exchange Act of 1934.
- (2) The Company met the identified exemption provisions in 17 C.F.R. § 240.15c3-3(k) throughout the most recent fiscal year.

Hines Securities, Inc.

I, Debbie Prosperie, affirm that, to my best knowledge and belief, this Exemption Report is true and correct.

Debbie Prosperie, Financial Operations Principal

February 24, 2017