**SEC** 

For Internal Use Only Sec File No. 9Submit 1 Original Processing and 9 Copies

OMB APPROVAL OMB Number: 3235-0504 Expires: August 31, 2013

Estimated average burden hours per response. . . . . 3.60

UNITED STATESOCT 0 4 2016 SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549shington DC 412

## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM			
Part I	Initial Listing Report		
1.	Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC		
2.	Type of Issuer of New Derivative Securities Product ( <u>e.g.</u> , clearinghouse, broker-dealer, corporation, etc.):  Open End Management Investment Company		
3.	Class of New Derivative Securities Product:  Exchange Traded Fund		
4.	Name of Underlying Instrument:  Nasdaq U.S. Small Cap Select Index		, We see
5.	If Underlying Instrument is an Index, State Whether it is Broad Based	oad-Based or N	arrow-Based:
6.	Ticker Symbol(s) of New Derivative Securities Product: <b>PSC</b>		EC.
7	Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  Nasdaq, NYSE, Amex		
8.	Settlement Methodology of New Derivative Securities Product:  Regular way trades settle T+3 (cash settled)		
9.	Position Limits of New Derivative Securities Product (if app N/A	olicable):	
Part II	Execution	ı	
	The undersigned represents that the governing body of the all approved, or has duly delegated its approval to the undersign derivative securities product according to its relevant trading standards.	ned for, the list	ing and trading of the above-referenced new
Williar	of Official Responsible for Form: n Slattery	; 1	
Title: Vice President, Listing Qualifications		i	16019944
(301) 9	one Number: 978-8088		
$\mathcal{N}$	Signature of Official Responsible for Form:	Act	Securities Frohomge Act of 1934
Date: Septer	mber 29, 2016	Section Rule	195-4 196-2(e)
SEC 2449 (6-01)			

Availability:

OCT 0 4 2016