

091-21623-A

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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:
NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)

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2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open-end Management Investment Company

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3. Class of New Derivative Securities Product:
Managed Fund Shares

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4. Name of Underlying Instrument:
 n/a

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
 n/a

6. Ticker Symbol(s) of New Derivative Securities Product:
FCEF

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
 n/a

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3/Book entry only held in DTC.



9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

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Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Martha Redding

Title:
Associate General Counsel and Assistant Secretary

Telephone Number:
(212) 656-2938

Manual Signature of Official Responsible for Form:

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September 30, 2016 SEC 2449 (1/99)	Act	Securities Exchange Act of 1934
	Section	19b-4
	Rule	19b-4(e)

Public Availability: **OCT 03 2016**



Martha Redding
Senior Counsel
Assistant Secretary

New York Stock Exchange
11 Wall Street
New York, NY 10005
T + 1 212 656 2938
F + 1 212 656 8101
Martha.Redding@theice.com

Via Overnight Mail

September 30, 2016

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

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Section

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Re: 19b-4(e) – Transmittal

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

- Highland/iBoxx Senior Loan ETF (SNLN)**
- First Trust CEF Income Opportunities ETF (FCEF)**
- First Trust Municipal CEF Income Opportunity ETF (MCEF)**
- ProShares K-1 Free Crude Oil Strategy ETF (OILK)**
- TrimTabs Float Shrink ETF (TTAC)**
- AdvisorShares KIM Korea Equity ETF (KOR)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	OCT 03 2016

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