

09-21622 JN

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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:

NYSE Arca, Inc.

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2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):

Open-end Management Investment Company

OCT 03 2016

3. Class of New Derivative Securities Product:

Managed Fund Shares

Washington DC  
412

4. Name of Underlying Instrument:

n/a

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:

n/a



16019929

6. Ticker Symbol(s) of New Derivative Securities Product:

KOR

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:

n/a

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8. Settlement Methodology of New Derivative Securities Product:

Regular way trades settle on T+3/Book entry only held in DTC.

9. Position Limits of New Derivative Securities Product (if applicable):

Not applicable.

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Martha Redding

Title:

Associate General Counsel and Assistant Secretary

Telephone Number:

(212) 656-2938

Manual Signature of Official Responsible for Form:

September 30, 2016

SEC 2449 (1/99)

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public  
Availability: OCT 03 2016



Martha Redding  
Senior Counsel  
Assistant Secretary

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New York, NY 10005  
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Martha.Redding@theice.com

**Via Overnight Mail**

September 30, 2016

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

SEC  
Mail Processing  
Section

OCT 03 2016

Washington DC  
112

**Re: 19b-4(e) – Transmittal**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**Highland/iBoxx Senior Loan ETF (SNLN)  
First Trust CEF Income Opportunities ETF (FCEF)  
First Trust Municipal CEF Income Opportunity ETF (MCEF)  
ProShares K-1 Free Crude Oil Strategy ETF (OILK)  
TrimTabs Float Shrink ETF (TTAC)  
AdvisorShares KIM Korea Equity ETF (KOR)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

<b>Act</b>	Securities Exchange Act of 1934
<b>Section</b>	19b-4
<b>Rule</b>	19b-4(e)
<b>Public Availability:</b>	OCT 03 2016

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