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**UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549  
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

**READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM**

**Part I Initial Listing Report**

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **SEC**

**NYSE Arca, Inc. (trading pursuant to unlisted trading privileges)** Mail Processing Section

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open-end Management Investment Company** OCT 03 2016

3. Class of New Derivative Securities Product: **Washington DC**

**Investment Company Units** 412

4. Name of Underlying Instrument:  
n/a

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
n/a

6. Ticker Symbol(s) of New Derivative Securities Product:  
**OILK**

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
n/a

8. Settlement Methodology of New Derivative Securities Product:  
**Regular way trades settle on T+3/Book entry only held in DTC.**

9. Position Limits of New Derivative Securities Product (if applicable):  
**Not applicable.**

**Part II Execution**

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

**Martha Redding**

Title:

**Associate General Counsel and Assistant Secretary**

Telephone Number:

**(212) 656-2938**

Manual Signature of Official Responsible for Form:

*[Signature]*  
**September 30, 2016**

SEC 2449 (1/99)



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|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | OCT 03 2016                     |



Martha Redding  
Senior Counsel  
Assistant Secretary

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Via Overnight Mail

September 30, 2016

Ms. Gail Jackson  
US Securities and Exchange Commission  
Division of Trading and Markets  
Station Place – Building I  
100 F Street, N.E. – Room 6628  
Washington, DC 20549

SEC  
Mail Processing  
Section

OCT 03 2016

Washington DC  
112

Re: **19b-4(e) – Transmittal**

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

**Highland/iBoxx Senior Loan ETF (SNLN)**  
**First Trust CEF Income Opportunities ETF (FCEF)**  
**First Trust Municipal CEF Income Opportunity ETF (MCEF)**  
**ProShares K-1 Free Crude Oil Strategy ETF (OILK)**  
**TrimTabs Float Shrink ETF (TTAC)**  
**AdvisorShares KIM Korea Equity ETF (KOR)**

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

|                      |                                 |
|----------------------|---------------------------------|
| Act                  | Securities Exchange Act of 1934 |
| Section              | 19b-4                           |
| Rule                 | 19b-4(e)                        |
| Public Availability: | OCT 03 2016                     |

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