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**UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549
FORM 19b-4(e)**

**Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934**

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: **SEC**
NYSE Arca, Inc. (trading pursuant to unlisted trading privileges) Mail Processing Section

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open-end Management Investment Company OCT 03 2016

3. Class of New Derivative Securities Product: **Washington DC**
Investment Company Units 412

4. Name of Underlying Instrument:
Markit iBoxx USD Liquid Leveraged Loan Index

5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based

6. Ticker Symbol(s) of New Derivative Securities Product:
SNLN

7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
OTC

8. Settlement Methodology of New Derivative Securities Product:
Regular way trades settle on T+3/Book entry only held in DTC.



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9. Position Limits of New Derivative Securities Product (if applicable):
Not applicable.

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:
Martha Redding
 Title:
Associate General Counsel and Assistant Secretary
 Telephone Number:
(212) 656-2938

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Manual Signature of Official Responsible for Form:

(Signature)
September 30, 2016
 SEC 2449 (1/99)

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | OCT 03 2016 |



Martha Redding
Senior Counsel
Assistant Secretary

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New York, NY 10005
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Martha.Redding@theice.com

Via Overnight Mail

September 30, 2016

Ms. Gail Jackson
US Securities and Exchange Commission
Division of Trading and Markets
Station Place – Building I
100 F Street, N.E. – Room 6628
Washington, DC 20549

SEC
Mail Processing
Section

OCT 03 2016

Washington DC
112

Re: 19b-4(e) – Transmittal

Dear Ms. Jackson:

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

Highland/iBoxx Senior Loan ETF (SNLN)
First Trust CEF Income Opportunities ETF (FCEF)
First Trust Municipal CEF Income Opportunity ETF (MCEF)
ProShares K-1 Free Crude Oil Strategy ETF (OILK)
TrimTabs Float Shrink ETF (TTAC)
AdvisorShares KIM Korea Equity ETF (KOR)

If you have any questions, please do not hesitate to call me at (212) 656-2938.

Sincerely,

Enclosures

| | |
|----------------------|---------------------------------|
| Act | Securities Exchange Act of 1934 |
| Section | 19b-4 |
| Rule | 19b-4(e) |
| Public Availability: | OCT 03 2016 |

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