091-21618m

For Internal Use Only	Submit 1 Original	OMB Approval No.:
ec File No. 91 -	and 9 Copies	Expires:
		Estimated average burden hours per response: 2.0
	UNITED STATES	
	SECURITIES AND EXCHANGE	COMMISSION
	Washington, D.C. 2054	
	FORM 19b-4(e)	
Information	Required of a Self-Regulatory Organiza	tion Listing and Trading a New
	s Product Pursuant to Rule 19b-4(e) Und	
	AD ALL INSTRUCTIONS PRIOR TO C	
art I	Initial Listing Report	
	on Listing New Derivative Securities Produ	
IYSE Arca, Inc. (trading pursua	nt to unlisted trading privileges)	Mail Processing
. Type of Issuer of New Derivative Sec	curities Product (e.g., clearinghouse, broker	-dealer, corporation, etc.):
Open-end Management Investme		OCT 03ZUID
. Class of New Derivative Securities P	roduct:	Washington DC
Managed Fund Shares		412
. Name of Underlying Instrument:		
ı/a		
IGITA della a la l	State Whether it is Breed Deced on Norman	y Doods
. If Orderlying Instrument is all index	, State Whether it is Broad-Based or Narroy	v-Dascu.
ν <b>α</b>	그렇게 보이면 맞면다 만든 통에서	#1 #1 # <b>10 #10 #10 #10</b> #10
6. Ticker Symbol(s) of New Derivative	Securities Product:	
ГТАС		
		4604000
7. Market or Markets Upon Which Sec	urities Comprising Underlying Instrument	Frades: 16019925
n/a		
8. Settlement Methodology of New De		
Dogular way trades settle on T+2	Pook ontry only hold in DTC	
Regular way trades settle on 1+3	Book entry only near in DIC.	
Position Limits of New Derivative S		3
Position Limits of New Derivative S		
9. Position Limits of New Derivative S Not applicable.		<u> </u>
Regular way trades settle on T+3  9. Position Limits of New Derivative S  Not applicable.  Part II  The undersigned represents that the go	ecurities Product (if applicable):  Execution  verning body of the above-referenced Self-	Regulatory Organization has duly approved, or has duly
Part II  The undersigned represents that the godelegated its approval to the undersign	ecurities Product (if applicable):  Execution  verning body of the above-referenced Selfed for, the listing and trading of the above-	Regulatory Organization has duly approved, or has duly referenced new derivative securities product according to
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Part II The undersigned represents that the go delegated its approval to the undersign relevant trading rules, procedures, surv	Execution  Verning body of the above-referenced Selfed for, the listing and trading of the above-reillance programs and listing standards.	Regulatory Organization has duly approved, or has duly the referenced new derivative securities product according to
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P. Position Limits of New Derivative S Not applicable.  Part II The undersigned represents that the goodelegated its approval to the undersigner relevant trading rules, procedures, survelevant trading Responsible for Form Martha Redding Title:  Associate General Counsel and A Telephone Number: (212) 656-2938	Execution  Verning body of the above-referenced Selfed for, the listing and trading of the above-reillance programs and listing standards.  Assistant Secretary	Regulatory Organization has duly approved, or has duly referenced new derivative securities product according to
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## Via Overnight Mail

September 30, 2016

Ms. Gail Jackson US Securities and Exchange Commission Division of Trading and Markets Station Place - Building I 100 F Street, N.E. - Room 6628 Washington, DC 20549

Re:

19b-4(e) - Transmittal

Dear Ms. Jackson:

Martha Redding Senior Counsel Assistant Secretary

New York Stock Exchange 11 Wall Street New York, NY 10005 T + 1 212 656 2938 F + 1 212 656 8101 Martha.Redding@theice.com

SEC Mail Processing Section OCT 032016

Washington Dr

112

Enclosed for filing pursuant to Section 19(b) of the Securities Exchange Act of 1934 and Rule 19b-4 thereunder, please find an original and nine copies of Form 19b-4(e) with respect to the securities listed below.

> Highland/iBoxx Senior Loan ETF (SNLN) First Trust-CEF Income Opportunities ETF (FCEF) First Trust Municipal CEF Income Opportunity ETF (MCEF) ProShares K-1 Free Crude Oil Strategy ETF (OILK) TrimTabs Float Shrink ETF (TTAC) AdvisorShares KIM Korea Equity ETF (KOR)

If you have any questions, please do not hesitate to call mc at (212) 656-2938.

Sincerely,

Enclosures

Act Securities Exchange Act of 1934 Section 196-4 Rule 19b-4(e) Public Availability: CCT 0 3 2016