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SEP 29 2016

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION DC
Washington, D.C. 20549 412

OMB APPROVAL

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FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I

Initial Listing Report

- Name of Self-Regulatory Organization Listing New Derivative Securities Product:
The NASDAQ Stock Market LLC
- Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):
Open End Management Investment Company
- Class of New Derivative Securities Product:
Exchange Traded Fund
- Name of Underlying Instrument:
Nasdaq US Smart Transportation Index
- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:
Broad-Based
- Ticker Symbol(s) of New Derivative Securities Product:
FTXR
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:
NASDAQ, NYSE
- Settlement Methodology of New Derivatives Product:
Regular way trades settle on T + 3 (cash settled)
- Position Limits of New Derivative Securities Product (if applicable):
N/A



Part II

Execution

16019891

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Lisa Roberts

Title:

Vice President

Telephone Number:

1-301-978-8081

Manual Signature of Official Responsible for Form:

[Handwritten Signature]

Date: **September 26, 2016**

Act Securities Exchange Act of 1934

Section 19b-4

Rule 19b-4(e)

Public
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