

091-210704

SEC

For Internal Use  
Only  
Sec File No. 9-

Submit 1 Original and 9 Copies  
Mail Processing  
Section

OMB APPROVAL  
OMB Number: 3235-0504  
Expires: July 31, 2016  
Estimated average burden  
hours per response.....3.60

SEP 29 2016

UNITED STATES  
SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New  
Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I Initial Listing Report

- 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product:  
**The NASDAQ Stock Market LLC**
- 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.):  
**Open End Management Investment Company**
- 3. Class of New Derivative Securities Product:  
**Exchange Traded Fund**
- 4. Name of Underlying Instrument:  
**Nasdaq US Smart Oil & Gas Index**
- 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based:  
**Broad-Based**
- 6. Ticker Symbol(s) of New Derivative Securities Product:  
**FTXN**
- 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades:  
**NASDAQ, NYSE, NYSE MKT**
- 8. Settlement Methodology of New Derivatives Product:  
**Regular way trades settle on T + 3 (cash settled)**
- 9. Position Limits of New Derivative Securities Product (if applicable):  
**N/A**

RECEIVED  
SEP 30 2016  
Securities and Exchange

Part II Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:  
**Lisa Roberts**

Title:  
**Vice President**

Telephone Number:  
**1-301-978-8081**



Manual Signature of Official Responsible for Form:

16019890

Date: **September 26, 2016**

Act	Securities Exchange Act of 1934
Section	19b-4
Rule	19b-4(e)
Public Availability:	SEP 29 2016