091-21459m

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2	016 NOV -4 PM 3: 45	SECURITIES AND EXCHA Washington, D	ANGE COMMISSION O.C. 20549	
,	SEC / TM			
		FORM 19	b-4(e)	
		uired of a Self-Regulatory ( oduct Pursuant to Rule 19b-		
	READ A	ALL INSTRUCTIONS PRIC	OR TO COMPLETIN	G FORM
Part I		Initial Listin	g Report	
1.		ganization Listing New Deriv	ative Securities Produc	ot:
	Bats EDGA Exchange, Inc.	vative Securities Product (e.g.	alagringhouse broker	depler comporation etc.):
2.	See Attached.	· · · · · · · · · · · · · · · · · · ·	, clearinghouse, broker	-dearer, corporation, etc.).
3.	Class of New Derivative Sec See Attached.	curities Product:		
4.	Name of Underlying Instrum See Attached.	nent:	······································	SEC
5.		an Index, State Whether it is	Broad-Based or Narrov	w-Based: Mail Processing Section
6.	Ticker Symbol(s) of New De	rivative Securities Product:		NOV U 4 2016
0.	See Attached.	, invative becarities i rodaet.		
7.		hich Securities Comprising U	nderlying Instrument	Washington DC
	Trades: See Attached.			412
8.		New Derivative Securities Pro	duct:	······································
	Trades Locked in at Exchange	e and settled at NSCC.		NI TITUM ATTACA AND AND AND AND AND
9.	Position Limits of New Der	ivative Securities Product (if	applicable):	
	Not Applicable.			
Part I	I .	Execut	ion	16019857
Name	approved, or has duly deleg	ated its approval to the under t according to its relevant trac	signed for, the listing a	f-Regulatory Organization has duly nd trading of the above-referenced new surveillance programs and listing
Title:	VP, Associate General Counsel			
Teleph	one Number: 913-815-7154			
Manua	al Signature of Official Respon	nsible for Form:		
1. 44		/	Case	Constitutes Enchange Act of 1934
Date:		C		
1	November 3, 2016		Section	196-(e)
<b>.</b>				
SEC 24	49 (6-01)		Public Availability:	NOV 0 4 2016

ATTACHMENT TO FORM 19b-4(e) FOR NEW DERIVATIVE SECURITIES PRODUCTS ("NDSPs") TRADED ON BATS EDGA EXCHANGE, INC. COMMENCING ON OCTOBER 28, 2016

				(7) Market(s) upon	
<u>(6)</u>	<u>(2) Type of</u>			Which Securities	(5) Broad
Ticker	Issuer of		(4) Name of Underlying	Comprising Underlying	<u>or</u>
Symbol	<u>NDSP</u>	(3) Class of NDSP	Instrument	Instrument Trades	Narrow
· ·		Investment Company	MSCI EAFE ex Fossil Fuels		
EFAX	Trust	Units	Index	Global	Broad
		Investment Company	MSCI Emerging Markets ex		
EEMX	Trust	Units	Fossil Fuels Index	Global	Broad
			Premise Capital Frontier		
· .		Investment Company	Advantage Diversified Tactical		
TCTL	Trust	Units		Global	Broad



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SEC / TM

November 3, 2016

Ms. Claudette Ransom Mail Stop 6628 Securities and Exchange Commission 100 F Street, N.E. Washington, DC 20549 Mail Processing Section MOV () 4 2018 Washington DC-

412

RE: Form 19b-4(e) - Bats EDGA Exchange, Inc.

Ms. Ransom,

On behalf of Bats EDGA Exchange, Inc., enclosed please find one (1) executed Form 19b-4(e) and 9 copies. The enclosed Form 19b-4(e) contains information regarding three (3) derivative securities products that commenced trading on Bats EDGA Exchange on October 28, 2016. Please contact me or Tami Schademann (913.815.7113) if you have any questions in connection with this matter.

Sincerely,

Anders Franzon SVP, Associate General Counsel 913.815.7154

Act	Securities Exchange Act of 1934			
Section	195-4			
Rule	196-4(e)			
Public Availability:	NOV 0 4 2016			

Bats Global Markets, Inc. (8050 Marshall Drive, Suite 120 (Lenexa 66214