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UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM

Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): **Open End Management Investment Company** SEC 3. Class of New Derivative Securities Product: **Exchange Traded Fund** Mail Processing 4. Name of Underlying Instrument: Section Premise Capital Frontier Advantage Diversified Tactical Index NOV 0 3 2016 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-based** Washington DC Ticker Symbol(s) of New Derivative Securities Product: 412 **TCTL** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trac³ Listed on: BATS, NASDAQ Stock Market LLC, NYSE Arca 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): 16019839 N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing Name of Official Responsible for Form: Colleen Steele Title: **Assistant Corporate Secretary** Telephone Number: 301-978-8736 Manual Signature of Official Responsible for Form Date: October 31, 2016 Securities Enchange Act of 1934 Act SEC 2449 (6-01) 19b-4 Section 19b-4(e) Rule

Public

Availability:

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