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## FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act of 1934

## READ ALL INSTRUCTIONS PRIOR TO COMPLETING FORM Part I **Initial Listing Report** 1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: NASDAQ OMX PHLX LLC (traded pursuant to unlisted trading privileges) 2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.): Mail Processing Open End Management Investment Company Section 3. Class of New Derivative Securities Product: **Exchange Traded Fund** 4. Name of Underlying Instrument: **MSCI All Country World Index** Washington DC 5. If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: Broad-based 6. Ticker Symbol(s) of New Derivative Securities Product: **ESGF** 7. Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: Listed on: Alexandria, Australian Stock Exchange (ASX), Bovespa, Deutsche Borse, Euronext Amsterdam, Euronext Brussels, Euronext Lisbon, Euronext Paris, Indonesia, Korea Stock Exchange, London Stock Exchange, NASDAQ Stock Market LLC, NYSE, National Stock Exchange Of India, Prague, SWS Swiss, Tokyo Stock Exchange, Toronto, Vienna 8. Position Limits of New Derivative Securities Product (if applicable): Regular way trades settle on T + 3 (cash settled) 9. Position Limits of New Derivative Securities Product (if applicable): N/A Part II Execution The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has dury approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards. Name of Official Responsible for Form: Colleen Steele Title: **Assistant Corporate Secretary** Telephone Number: 301-978-8736 Manual Signature of Official Respons for Form Securities Exchange Act of 1934 Date: November 01, 2016 Section 195-4 Rule SEC 2449 (6-01) 19b-4(e) Public NOV 0 3 2016 Availability: