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Form 1 Page 1 Execution Page

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

APPLICATION FOR, AND AMENDMENTS TO APPLICATION FOR, REGISTRATION AS A NATIONAL SECURITIES EXCHANGE OR EXEMPTION FROM REGISTRATION PURSUANT TO SECTION 5 OF THE EXCHANGE ACT (MM/DD/YY): ÜSE ONLY

| kε | /ARNING: Failure to keep this form current and to file accurate supplementary information on a timely basis, or the failure to eep accurate books and records or otherwise to comply with the provisions of law applying to the conduct of the applicant rould violate the federal securities laws and may result in disciplinary, administrative, or criminal action. | | |
|-----------|--|--|-----------------|
| | INTENTIONAL MISSTATEMENTS OR OMISSIONS OF FACTS MAY CONSTITUTE CRIMINAL VIOLATIONS | | |
| 1. | □ APPLICATION ISE Genury, LLC ETAMENDMENT State the name of the applicant: | | 16019745 |
| i | | | 010 |
| 2. | Provide the applicant's primary street address (Do not use a P.O. Box): 60 Broad Street, SEC 26 Floor New York, NY 10004 Mail Processing | | 16 |
| | Section Section | | - , |
| 3. | Provide the applicant's mailing address (if different): NOV 1 4 2016 | | |
| | | T | 1 |
| 4. | Provide the applicant's business telephone and facsimile number: 212 943 - 2400 212 - 509-3955 | | |
| | (Telephone) (Facsimile) | | |
| 5. | Provide the name, title, and telephone number of a contact employee: Ronan Cahill Senior Legal and Regulatory Associate 212-897-8152 | 2016 | |
| | (Name) (Title) (Telephone Number) | 5 7 | T |
| 6. | Provide the name and address of counsel for the applicant: | K | |
| | 60 Broad Street | PM 3: | FOR VED |
| 7. | Provide the date applicant's fiscal year ends: | 3: 07 | |
| 3. | Indicate legal status of applicant: Corporation Sole Proprietorship Partnership Other (specify): | ! | |
| | If other than a sole proprietor, indicate the date and place where applicant obtained its legal status (e.g. state where incorporated, place where partnership agreement was filed or where applicant entity was formed): | | |
| | (a) Date (MM/DD/YY): (b) State/Country of formation: | | |
| | (c) Statute under which applicant was organized: | | |
| xcl | ECUTION: The applicant consents that service of any civil action brought by , or notice of any proceeding before, the Securities and hange Commission in connection with the applicant's activities may be given by registered or certified mail or confirmed telegram to the licant's contact employee at the main address, or mailing address if different, given in Items 2 and 3. The undersigned, being first duly | | |
| | rn, deposes and says that he/she has executed this form on behalf of , and with the authority of , said applicant. The unders igned and | | |
| | licant represent that the information and statements contained herein, including exhibits, schedules, or other documents att ached hereto, other information filed herewith, all of which are made a part hereof are current, true, and complete. | | |
| ate | TEMPINE STEEL STEE | | |
| ٠,٠ | (MM/DD/17) Change of applicant) Logal H350(1 ati | | |
| y: ubs | (Signature) scribed and sworn before me this 10 day of Nowber, 2010 by | | |
| | Commission expires 20-27-18 County of W State of W | | |
| | This page must always be completed in full with original, manual signature and notarization. Affix potary stamp or seal where applicable. Notary Public - State | AUER 9 of Ne | w York |
| | No. 01SA68 | <u> 13903 </u> | |



November 11, 2016

VIA FEDERAL EXPRESS

SEC Mail Processing Section NUV 1 4 2016

Washington DC 412

Jeanette Marshall
U.S. Securities and Exchange Commission
Division of Market Regulation
Office of Market Supervision
100 F Street NE
Mail Stop 6628
Washington, DC 20549

Re:

ISE Gemini, LLC

SEC Rule 6a-2 and 6a-3 Materials

Dear Ms. Marshall:

Pursuant to Rule 6a-2(a) of the Securities Exchange Act of 1934, this is an update of the ISE Gemini, LLC's ("ISE Gemini" or "Exchange") Form 1.

In addition, pursuant to Rule 6a-3(a), within the last 10 days, ISE Gemini issued or has made available certain material to its members, participants or subscribers. The material can be found at the following locations on ISE Gemini's website:

Options

New Listings:

http://ise.com/newlistings

Delistings:

http://ise.com/delistings

Expiration Notices:

http://ise.com/expirationnotices

Series Additions/Deletions:

http://ise.com/serieslist

Market Information Circulars:

http://ise.com/mics

Index Options

Recent Index Changes:

http://ise.com/indexchanges

Index Settlement Values:

http://ise.com/indexsettlement

Legal & Regulatory

Regulatory Information Circulars:

http://www.ise.com/rics

Rules:

http://www.ise.com/rules

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Marketing Material

Press Releases:

www.ise.com/press

Publications:

http://www.ise.com/publications

Corporate

Board Members:

http://www.ise.com/about-ise/board-of-directors/

Constitution:

 $\underline{\text{http://www.ise.com/media/92473/Constitution-of-Topaz-Exchange-31-Jul-13-name-change-to-ISE-Gemini-.pdf}$

LLC Agreement:

http://www.ise.com/media/103279/Executed-Second-Amended-and-Restated-LLC-Agreement-18-Dec-2014-.pdf

Exchange Officers:

http://www.ise.com/about-ise/management-team/

Members

List of ISE Members

http://www.ise.com/options/membership/exchange-members/

Transactional Volume

Volume Report

http://www.ise.com/options/exchange-data/

If you have any questions on these materials, please do not hesitate to contact me at (212) 897-8152.

Sincerely,

Ronan Cahill

Senior Legal & Regulatory Associate