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OMB APPROVAL

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**UNITED STATES** SEC / TM SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM 19b-4(e)

Information Required of a Self-Regulatory Organization Listing and Trading a New Derivative Securities Product Pursuant to Rule 19b-4(e) Under the Securities Exchange Act-of 1934

READ ALL INSTRUCTIONS PRIOR TO COMPLETING HORMTOCESSING

Section .

Part I

**Initial Listing Report** 

1. Name of Self-Regulatory Organization Listing New Derivative Securities Product: The NASDAQ Stock Market LLC

2. Type of Issuer of New Derivative Securities Product (e.g., clearinghouse, broker-dealer, corporation, etc.)? **Open End Management Investment Company** 

3. Class of New Derivative Securities Product:

**Exchange Traded Note** 

4. Name of Underlying Instrument:

**DWA MLP Select Index** 

16019696

- If Underlying Instrument is an Index, State Whether it is Broad-Based or Narrow-Based: **Broad-Based**
- 6. Ticker Symbol(s) of New Derivative Securities Product: **BMLP**
- Market or Markets Upon Which Securities Comprising Underlying Instrument Trades: NYSE
- 8. Settlement Methodology of New Derivatives Product: Regular way trades settle on T + 3 (cash settled)
- 9. Position Limits of New Derivative Securities Product (if applicable): N/A

Part II

Execution

The undersigned represents that the governing body of the above-referenced Self-Regulatory Organization has duly approved, or has duly delegated its approval to the undersigned for, the listing and trading of the above-referenced new derivative securities product according to its relevant trading rules, procedures, surveillance programs and listing standards.

Name of Official Responsible for Form:

Joan Conley

Title:

Senior Vice President

Telephone Number:

1-301-978-8735

Manual Signature of Official Responsible for Form:

Date: December 20, 2016

Act

Securities Exchange Act of 1934

Section

196-6

Rule

19b-4(e)

Public

Availability:

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